FORM NLRB-501 (3-21)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD **CHARGE AGAINST EMPLOYER**

| DO NOT WRITE IN THIS SPACE | | | |
|----------------------------|--------------|--|--|
| Case | Date Filed | | |
| 02-CA-318303 | May 10, 2023 | | |

INSTRUCTIONS:

| File an original with NLRB Regional Director for the region in | | ırring. | |
|---|--|--|--|
| | OYER AGAINST WHOM CHARGE IS BROUGHT | | |
| a. Name of Employer NBC Universal Media, LLC | | b. Tel. No. 212-909-0714 | |
| | | c. Cell No. | |
| | | f. Fax. No. | |
| d. Address (Street, city, state, and ZIP code) | e. Employer Representative | g. e-mail | |
| 30 Rockefeller Plaza Room 4750E New York, NY 10112-0015 | J. Patrick Butler, Esq/ Kauf, McGUire & Margolis, LLP 950 Third Avenue, 14th Floor New York, NY 10022 | butler@kmm.com | |
| | | h. Number of workers employed 8,000 | |
| i. Type of Establishment (factory, mine, wholesaler, etc.) | j. Identify principal product or service | · | |
| Media | News, entertainment, and media information | | |
| The above-named employer has engaged in and is engage | | | |
| (list subsections) (5) | | or Relations Act, and these unfair labor | |
| practices are practices affecting commerce within the meaning of the Act and the Postal Reorganization Act. | aning of the Act, or these unfair labor practices are pra | ctices affecting commerce within the | |
| Basis of the Charge (set forth a clear and concise state) | ment of the facts constituting the alleged unfair labor of | aractices) | |
| Within the last six months the Employer changed | , | , and the second | |
| notice or bargaining, including providing an added | | | |
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| | | | |
| 3. Full name of party filing charge (if labor organization, gas NewsGuild of New York, Local 31003, TNG/CW. | | | |
| 4a. Address (Street and number, city, state, and ZIP code | 9) | 4b. Tel. No. | |
| 1500 Broadway, Suite 900 | • | 212-575-1580 | |
| New York NY 10036-4055 Attn: (b) (6), (b) (7)(C) | | 4c. Cell No. (b) (6), (b) (7)(C) | |
| | | 4d. Fax No. | |
| | | 212-730-1531 | |
| | | 4e. e-mail | |
| | | (b) @nyguild.org | |
| 5. Full name of national or international labor organization | of which it is an affiliate or constituent unit (to be filled | in when charge is filed by a labor organization) | |
| Communications Workers of America | | | |
| | ARATION | Tel. No. | |
| | ve charge and that the statements by knowledge and belief. | 212-473-8700 | |
| Caron Hall | Aaron Gladstone, Counsel | Office, if any, Cell No. 443-895-1303 | |
| (signature of representative or person making charge) | (Print/type name and title or office, if any) | Fax No. | |
| | | 212-473-8705 | |
| Eisner Dictor & Lamadrid, P.C. 39 Broadway, Suite 1540, New York, NY 10006 Address | 5/10/2023 | e-mail | |
| | | — aaron@eisnerdictor.com | |

WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary, however, failure to supply the information may cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



Agency Website: www.nlrb.gov 26 Federal Plz, Ste 36-130 Telephone: (212)264-0300 New York, NY 10278-3699 Fax: (212)264-2450

Download **NLRB** Mobile App

May 18, 2023

NBC Universal Media, LLC 30 Rockefeller Plaza Room 4750E New York, NY 10112-0015

REGION 2

Re: NBC Universal Media, LLC

Case 02-CA-318303

Dear Sir/Madame:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

Investigator: This charge is being investigated by Senior Field Attorney RUTH WEINREB whose telephone number is (212)776-8651. If this Board agent is not available, you may contact Supervisory Field Attorney KAREN M. NEWMAN whose telephone number is (212)776-8631.

Right to Representation: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, www.nlrb.gov, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not

enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor requests to limit our use of position statements or evidence. Specifically, any material you submit may be introduced as evidence at a hearing before an administrative law judge regardless of claims of confidentiality. However, certain evidence produced at a hearing may be protected from public disclosure by demonstrated claims of confidentiality.

Further, the Freedom of Information Act may require that we disclose position statements or evidence in closed cases upon request, unless an exemption applies, such as those protecting confidential financial information or personal privacy interests.

<u>Preservation of all Potential Evidence:</u> Please be mindful of your obligation to preserve all relevant documents and electronically stored information (ESI) in this case, and to take all steps necessary to avoid the inadvertent loss of information in your possession, custody or control. Relevant information includes, but is not limited to, paper documents and all ESI (e.g. SMS text messages, electronic documents, emails, and any data created by proprietary software tools) related to the above-captioned case.

<u>Prohibition on Recording Affidavit Interviews:</u> It is the policy of the General Counsel to prohibit affiants from recording the interview conducted by Board agents when subscribing Agency affidavits. Such recordings may impede the Agency's ability to safeguard the confidentiality of the affidavit itself, protect the privacy of the affiant and potentially compromise the integrity of the Region's investigation.

<u>Correspondence:</u> All documents submitted to the Region regarding your case MUST be filed through the Agency's website, <u>www.nlrb.gov</u>. This includes all formal pleadings, briefs, as well as affidavits, documentary evidence, and position statements. The Agency requests all evidence submitted electronically to be in the form it is normally used and maintained in the course of business (i.e., native format). Where evidence submitted electronically is not in native format, it should be submitted in a manner that retains the essential functionality of the native format (i.e., in a machine-readable and searchable electronic format).

If you have questions about the submission of evidence or expect to deliver a large quantity of electronic records, please promptly contact the Board agent investigating the charge. If you cannot e-file your documents, you must provide a statement explaining why you do not have access to the means for filing electronically or why filing electronically would impose an undue burden.

In addition, this Region will be issuing case-related correspondence and documents, including complaints, compliance specifications, dismissal letters, deferral letters, and withdrawal letters, electronically to the email address you provide. To ensure that you receive important case-related correspondence, please ensure that the Board Agent assigned to your case has your preferred email address. These steps will ensure that you receive correspondence faster and at a significantly lower cost to the taxpayer. If there is some reason you are unable to receive correspondence via email, please contact the agent assigned to your case to discuss the circumstances that prevent you from using email.

<u>Controlled Unclassified Information (CUI)</u>: This National Labor Relations Board (NLRB) proceeding may contain Controlled Unclassified Information (CUI). Subsequent information in this proceeding may also constitute CUI. National Archives and Records Administration (NARA) regulations at 32 CFR Part 2002 apply to all executive branch agencies that designate or handle information that meets the standards for CUI.

* * *

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

JOHN D. DOYLE, JR. Regional Director

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire

cc: J. Patrick Butler, Esq. Kauff McGuire & Margolis LLP 950 Third Avenue 14th Floor New York, NY 10022

| RM NLRB-5081 (3-11) | NATIONAL LABOR RELA | TIONS BOARD | | | | |
|---|--|--|-----------------------|---------|--|--|
| QUESTIONNAIRE ON COMMERCE INFORMATION | | | | | | |
| Please read carefully, answer all applicable items, and return to the NLRB Office. If additional space is required, please add a page and identify item number. | | | | | | |
| CASE NAME | | | ASE NUMBER | | | |
| | | 02 | 2-CA-318303 | | | |
| 1. EXACT LEGAL TITLE OF ENTITY (As filed w | rith State and/or stated in legal | documents forming entity) | | | | |
| | | | | | | |
| 2. TYPE OF ENTITY | | | | | | |
| [] CORPORATION [] LLC [] LLP [] PARTNERSHIP [] SOLE PROPRIETORSHIP [] OTHER (Specify) | | | | | | |
| 3. IF A CORPORATION or LLC | | | | | | |
| A. STATE OF INCORPORATION | B. NAME, ADDRESS, AND | RELATIONSHIP (e.g. parent, subsidia | ry) OF ALL RELATED EN | NTITIES | | |
| OR FORMATION | | | | | | |
| 4. IF AN LLC OR ANY TYPE OF PARTNERSHI | P, FULL NAME AND ADDRE | SS OF ALL MEMBERS OR PARTN | ERS | | | |
| | | | | | | |
| 5. IF A SOLE PROPRIETORSHIP, FULL NAME | AND ADDRESS OF BRODRI | ETOD | | | | |
| 5. If A SOLE PROPRIETORSHIP, FULL NAME | AND ADDRESS OF PROPRI | ETOR | | | | |
| C DRIFFI V DECCRIPE THE NATION OF VOL | D ODED ATIONS (D. 1 . 1 | 77.7 | · | | | |
| 6. BRIEFLY DESCRIBE THE NATURE OF YOU | R OPERATIONS (Products no | inatea or manufacturea, or nature of ser | vices perjormea). | | | |
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| 7A. PRINCIPAL LOCATION: | 7B. BRANC | H LOCATIONS: | | | | |
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| 8. NUMBER OF PEOPLE PRESENTLY EMPLO | | | | | | |
| A. TOTAL: | B. AT THE ADDRESS INVO | DLVED IN THIS MATTER: | | | | |
| | | | | | | |
| 9. DURING THE MOST RECENT (Check the appr | ropriate box): [] CALENDAR | C [] 12 MONTHS or [] FISCAL | YEAR (FY DATES |) | | |
| | | | YES | NO NO | | |
| DURING THE MOST RECENT (Check the approach A. Did you provide services valued in excess of \$50,0 \$ | | | YES | NO NO | | |
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PRIVACY ACT STATEMENT

E-MAIL ADDRESS

DATE

12. AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE

SIGNATURE

NAME AND TITLE (Type or Print)

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.